

11/29/00

1635

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. UCT-0012
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In Re Application Of: HLA, et al.

Serial No. 09/651,846	Filing Date August 31, 2000	Examiner M. Schmidt	Group Art Unit 1635
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Title: METHOD FOR REGULATING ANGIOGENESIS



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
UCT-0012

In Re Application: HLA, et al.

Serial No.
09/651,846

Filing Date
August 31, 2001

Examiner
M. Schmidt

Group Art Unit
1635

METHOD FOR REGULATING ANGIOGENESIS



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
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Marjorie R. Humes

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Karen A. LeCuyer
Signature

Dated: September 16, 2003

Karen A. LeCuyer
Registration No. 51,928
Telephone: (860) 286-2929

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
UCT-0012

In Re Application Of: **HLA, et al.**

Serial No.
09/651,846

Filing Date
August 31, 2000

Examiner
M. Schmidt

Group Art Unit
1635

Invention: **METHOD FOR REGULATING ANGIOGENESIS**



TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Karen A. LeCuyer
Signature

Dated: **September 16, 2003**

Karen A. LeCuyer
Registration No. 51,928
Telephone: (860) 286-2929

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Marjorie R. Humes
Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): HAL, et. al.

Docket No.

UCT-0012

Serial No.

09/651,846

Filing Date

August 31, 2000

Examiner

m. SCHMIDT

Group Art Unit

1635

Invention: METHOD FOR REGULATING ANGIOGENESIS



I hereby certify that this Transmittal of Information Disclosure Statement; Statement; 1449; cited reference
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Marjorie R. Humes

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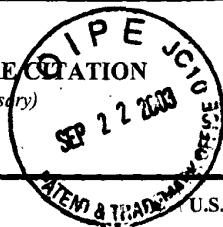
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

UCT-0012

Application Number

09/651,846

Applicant(s)

HLA, et al.

Filing Date

August 31, 2000

Group Art Unit

1635

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/46277	16.09.99	World				
		WO 99/35259	13.07.99	World				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report, mailed August 26, 2003
		Lee, Ok-Hee, et al: "Sphingosine 1-Phosphate Induces Angiogenesis: Its Angiogenic Action and Signaling Mechanism in Human Umbilical Vein Endothelial Cells"; Biochemical and Biophysical Research Communications (1999), 264(3), 743-750, XP002244342 abstract.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

